



GENERAL SCHOOL INFORMATION			
1. School Name		2. School District	
3. School Address (or TBD)		4. City	5. State AL
		6. Zip (or TBD)	
7. Grade levels served in first funding year		8. Grade levels served upon grant completion	
9. Projected total enrollment in first funding year		10. Projected total enrollment upon grant completion	
11. Year charter school opened or will open for instruction		12. Entity holding 501(c)3 status	
Type of subgrant (Indicate only one)			
Conversion	Expansion	New School	Replication
AUTHORIZER INFORMATION			
13. Authorizer Entity Name		14. Charter Contact Length	15. Forthcoming Renewal Year
16. Authorizer Contact Name		17. Email Address	18. Phone Number
APPLICANT CONTACT INFORMATION			
19. Applicant's name		20. Email Address	21. Phone Number
22. Board Chair's name (or TBD)		23. Email Address	24. Phone Number
25. School Leader's name (or TBD)		26. Email Address	27. Phone Number
EXPANSION APPLICANTS ONLY			
28. Projected Enrollment for the Year Prior to Expansion		29. Projected Enrollment for Expansion Year	30. Grades to be Added (if applicable)

Directions: Both the charter school and the charter school authorizer must carefully read and agree to the certifications and assurances enumerated in the sections below.

The authorizer of the applicant must assure that the applicant is eligible to receive a grant by certifying that the applicant qualifies as a charter school under the federal definition and also follows the state definition of a charter school as described in the Alabama School Choice and Student Opportunity Act *Ala Code § 16-6F-7*.

The applicant must assure that they will follow all applicable federal guidelines for the use of federal funds. This includes the Federal General Assurances, Program Specific Assurances, and the Certification Regarding Lobbying described in the sections below.

ELIGIBILITY REQUIREMENTS

This section also serves to ensure that the charter school developer (the applicant) has provided adequate and timely notice to the authorizer that an application for federal charter school funds will be submitted. The authorizer assures that the charter school application for opening a charter school has been presented to the authorizer.

Definitions:

To be eligible for funding in this grant program the public charter school must meet the federal definition of charter school, as codified in 20 U.S.C. § 7221i(2). The term charter school means a public school that:

- A. in accordance with a specific State statute authorizing the granting of charters to schools is exempt from significant State or local rules that inhibit the flexible operation and management of public schools, but not from any rules relating to the other requirements of this paragraph;
- B. is created by a developer as a public school, or is adapted by a developer from an existing public school, and is operated under public supervision and direction;
- C. operates in pursuit of a specific set of educational objectives determined by the school's developer and agreed to by the authorized public chartering agency;
- D. provides a program of elementary or secondary education, or both;
- E. is nonsectarian in its programs, admissions policies, employment practices, and all other operations, and is not affiliated with a sectarian school or religious institution;
- F. does not charge tuition;
- G. complies with the Age Discrimination Act of 1975, title VI of the Civil Rights Act of 1964, title IX of the Education Amendments of 1972, section 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act of 1990, the 'Family Educational Rights and Privacy Act of 1974 (FERPA)', and part B of the Individuals with Disabilities Education Act;
- H. is a school to which parents choose to send their children, and that—
 - i. admits students on the basis of a lottery, consistent with 20 U.S.C. § 7221b(c)(3)(A), if more students apply for admission than can be accommodated; or
 - ii. in the case of a school that has an affiliated charter school (such as a school that is part of the same network of schools), automatically enrolls students who are enrolled in the immediate prior grade level of the affiliated charter school and, for any additional student openings or student openings created through regular attrition in student enrollment in the affiliated charter school and the enrolling school, admits students on the basis of a lottery as described in clause (i);
- I. agrees to comply with the same Federal and State audit requirements as do other elementary schools and secondary schools in the State, unless such State audit requirements are waived by the State;
- J. meets all applicable Federal, State, and local health and safety requirements;
- K. operates in accordance with State law;
- L. has a written performance contract with the authorized public chartering agency in the State that includes a description of how student performance will be measured in charter schools pursuant to State assessments that are required of other schools and pursuant to any other assessments mutually agreeable to the authorized public chartering agency and the charter school; and
- M. may serve students in early childhood education programs or postsecondary students.

To be considered a charter school in the state of Alabama, pursuant to *Ala. Code § 16-6F-4(16)*, the public school formed must satisfy all of the following:

- A. Has autonomy over key decisions including, but not limited to, decisions concerning finance, personnel, scheduling, curriculum, instruction, and procurement.
- B. Is governed by an independent governing board that is a 501(c)(3) tax-exempt organization. No member of a governing board shall have a financial relationship to an education service provider or the staff of the authorizer.
- C. Is established and operated under the terms of a charter contract between the governing board and its authorizer, in accordance with this chapter.
- D. Is a school to which parents choose to send their student.
- E. Is a school that admits students on the basis of a random selection process if more students attempt to enroll for admission than can be accommodated.
- F. Provides an educational program that satisfies all of the following:
 1. Includes any grade or grades from prekindergarten to 12th grade.
 2. May include a specific academic approach or theme including, but not limited to, vocational and technical training; visual and performing arts; liberal arts and classical education; or science, mathematics, and technology.
 3. Operates in pursuit of a specific set of educational objectives as defined in its charter contract, such as college or career readiness, or both.
 4. Operates under the oversight of its authorizer in accordance with its charter contract.

FEDERAL GENERAL ASSURANCES

As used below, “Applicant” is interchangeable with “Recipient” or “Subrecipient” if Applicant is awarded funding pursuant to this grant. As the authorized representative of the school’s governing board or as the authorizer representative, I hereby certify:

1. Application Guidelines: Applicant agrees to comply with all terms and conditions set forth in the grant program’s Application Guidelines document provided with this application. Services provided under this grant will be used to address the needs set forth in the guidelines document. Applicant agrees to implement the activities within the prescribed timeline as outlined in their work plan section of their proposal. Applicant will provide fiscal information within the fiscal year timeline established for new and reapplying programs.

2. Statutes and Regulations: The Applicant shall comply with all applicable statutory and regulatory requirements. These requirements include, but are not limited to, applicable provisions of—

a. Title VI of the Civil Rights Act of 1964 [45 U.S.C. § 2000d et seq.]

b. Title IX of the Education Amendments of 1972 [20 U.S.C. §§ 1681- 1683]

c. Section 504 of the Rehabilitation Act of 1973 [29 U.S.C. § 794]

d. The Age Discrimination Act [42 U.S.C. § 6101 et seq.]

3. Allowable Costs: Costs incurred shall be allowable under the principles established in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule [2 C.F.R. §§ 200.400 – 200.476].

4. Budget Modifications/Programmatic Changes: The Applicant will report deviations from a budget or project scope or objective and request prior approvals for budget and program plan revisions in accordance with 2 C.F.R. § 200.308. This applies to all grants unless there are more restrictive or specific requirements of the grant award, which may be the case with discretionary grants.

5. Confidentiality: The Applicant shall comply with all applicable laws regarding confidentiality of student information, including FERPA.

6. Conflict of Interest: No board or staff member of an charter school may use his or her position to obtain financial gain or anything of substantial value for the private benefit of himself or herself or his or her immediate family, or for an organization with which he or she is associated, such as a royalty, commission, contingent fee, brokerage fee, consultant fee, or other benefit. [Ala. Code. § 36-25-1 through 36-25-30; 2 CFR § 200.112].

7. Contracts and Procurement: The Applicant will use its own procurement procedures that reflect applicable state and local laws and regulations, provided the procurements conform to applicable federal law and the standards in 2 CFR §§ 200.318 - 200.326.

8. Debarred and Suspended Parties: A contract must not be made to parties listed on the government wide Excluded Parties List System in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. Part 180. The Excluded Parties List in SAM contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority.

9. Cooperation with Evaluation: The Applicant shall cooperate with the performance of any evaluation of the program by the APCSC or USDE or by their contractors [2 CFR §§ 200.328 – 200.330].

10. Copyright, Acknowledgement, and Publications: The Applicant will comply with all copyright and materials acknowledgement requirements as addressed in the projects’ grant guidelines. The USDE and the APCSC reserve royalty-free, nonexclusive, and irrevocable licenses to reproduce, publish or otherwise use, and to authorize others to use, for their purposes, the copyright in any work developed under this subgrant or contract under this subgrant; and any rights of copyright to which the Applicant or a contractor purchases ownership with grant support. The content of any grant-funded publication or product may be reprinted in whole or in part, with credit to the USDE and APCSC acknowledged. However, reproduction of this product in whole or in part for resale must be authorized by the APCSC. When issuing statements, press releases, and other documents describing projects or programs funded in whole or in part with federal grant funds, the grant award recipient shall clearly acknowledge the receipt of federal funds in a statement.

11. Fiscal Control: In accordance with 2 C.F.R. Part 200, the Applicant will use fiscal control and fund accounting procedures and will ensure proper disbursement of, and accounting for, federal funds received and distributed under this program.

12. Indirect Costs: If the fiscal agent intends to claim indirect costs, the total amount budgeted for indirect costs is limited to and cannot exceed the default rate in accordance with the Uniform Guidance at 2 CFR § 200.414(f). Indirect costs cannot be charged against capital objects.

13. Legal and Regulatory Compliance: Administration of the program, activities, and services covered by this application will be in accordance with all applicable state and federal statutes, regulations and the approved application [34 C.F.R. § 76.700].

14. OMB Standard Form 424B: The Applicant will comply with all applicable assurances in OMB standard Form 424B (Assurances for Non-Construction Programs), including: the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood insurance; environmental standards wild and scenic river systems; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and general agreement to comply with all applicable federal laws, executive orders and regulations.

15. Record Retention: In accordance with 2 C.F.R. § 200.334(b), this is written notification to the subrecipient that APCSC requires an extension to the record retention period for grants addressed in the Statewide General Records Schedules. All applicants will ensure records are maintained for a period of at least three years after the end of the project year (2 C.F.R. § 200.334). If any litigation, claim, negotiation, audit, or other action involving the records starts before the end of the period, the records will be retained until completion of the action and resolution of all issues.

16. Reporting: The Applicant will ensure all required financial and program data is reported to the APCSC timely on a schedule established by the APCSC. The Applicant will report to the APCSC financial information using methods consistent with financial reporting to the Alabama State Department of Education and defined by them.

17. Grant Evaluation: The Applicant shall ensure that all grant evaluation reporting will be timely on the schedule established by the APCSC. Grant evaluation information provided to APCSC staff shall accurately assess the completeness of grant goals, activities, benchmarks and target dates [2 C.F.R. § 300.329].

18. Single Audit: Any entity that expends in total, from all sources \$1,000,000 or more in federal funds during a fiscal year (October 1– September 30), is required to conduct a single audit. If a single audit is required, a copy of the audit is to be submitted to APCSC. [2 C.F.R. § 200.501].

19. Text Messaging and E-Mailing While Driving: Pursuant to Executive Order 13513 (October 1, 2009), the Applicant and their grant personnel are prohibited from text messaging while driving a government-owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or email when driving.

20. Time and Effort Supporting Documentation: For costs to be allowable, compensation for personal services must adhere to the Standards for Documentation of Personnel Expenses as identified in 2 C.F.R § 200.430(g). The subrecipient must retain records that accurately reflect the work performed and be supported by a system of internal control which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.

21. Trafficking in Persons: Pursuant to 2 C.F.R. § 175.105, APCSC may terminate any award, without penalty, if the Applicant or its employees: engages in severe forms of trafficking in persons during the period of time that the award is in effect; procures a commercial sex act during the period of time that the award is in effect; or uses forced labor in the performance of the award or subawards under the award.”

PROGRAM SPECIFIC ASSURANCES

As the authorized representative of the school's governing board or as the authorizer representative, I further hereby certify:

1. Subgrant Period: Subgrant funds will be expended during the specified subgrant period, standard accounting procedures will be utilized by subgrant recipients and records of all subgrant expenditures will be maintained in an accurate, thorough, and complete manner.

2. Authorizer Submission: Subgrant recipients/chartering authorities by endorsement of this proposal, assure that an application for a new school or to replicate and operate a charter school or expand a charter school has been submitted to the authorizer.

3. Data Reporting: Subgrant recipients and authorizers will participate in all data reporting, evaluation activities, technical assistance, and on-site monitoring as requested by the USDE and the APCSC, including but not limited to participation at an annual reporting conference, submission of annual and final grant performance reports, regular submission of financial claims, and submission of all required pupil information.

4. Funding Scope: Subgrant recipients will expend funds only for the purpose of opening and preparing for the operation of a new charter school or replicating or expanding a high-quality charter school which meets the definition of a charter school outlined in 20 U.S.C. § 7221i(2), specifically, is nonsectarian, does not charge tuition, and which will be in compliance with all applicable Alabama laws and administrative rules.

5. Federal Laws: Subgrant recipients will comply with all provisions of all applicable acts, regulations and federal laws including, but not limited by enumeration: the Americans with Disabilities Act of 1990; section 444 of the General Education Provisions Act (GEPA); part B of the Individuals With Disabilities Education Act; and all provisions of the Department of Education General Administrative Regulations (EDGAR) [34 C.F.R. Parts 75-79, 81-86, 97-99 and 2 C.F.R. Part 200].

6. Expanding Opportunity Through Quality Charter Schools: Subgrant recipients will comply with all applicable provisions of 20 U.S.C. §§ 7221 - 7221j.

7. Formula Funds: Subgrant recipients will ensure that the charter school will receive funds through programs administered by the USDE under which funds are allocated on a formula basis. Each charter school will receive such funds for which it is eligible.

8. Nonprofit Status: Subgrant recipient will maintain its nonprofit status as a 501(c)3 organization.

9. Final Claims Records Requirement: Prior to submitting final claims, Subgrant recipients will provide the APCSC the following documents, as applicable, in approvable form: charter school contract including the performance framework; governance board roster; grant authorization form; statement of assurances; lottery and admission procedures; and evidence of nonprofit status.

10. Annual Reporting: Subgrant recipients will annually provide the U.S. Secretary of Education and the Department of Elementary and Secondary Education such information as may be required to determine if the charter school is making satisfactory progress toward achieving objectives described in this application.

11. School Evaluation: Subgrant recipients will cooperate with the U.S. Secretary of Education and the Department of Elementary and Secondary Education in evaluating the program described in the application.

12. Further Assurances: Subgrant recipients will provide other information and assurances as the U.S. Secretary of Education and the Department of Elementary and Secondary Education may require.

13. Annual Audits: Subgrant recipients will provide independent annual audits of financial statements prepared in accordance with generally accepted accounting principles and auditing standards. Any such audits will be publicly available and shared with the school's authorizer.

14. Application Requirements: Subgrant recipients will fulfill all applicable application requirements, including the requirements in Section 4303(f)(1)(C)(i) of the ESEA and Application Requirement (a)(3)(ii) (information that must be included in subgrant applications) in the Notice Inviting Applications for New Awards under the CSP SE program that was published in the Federal Register on March 20, 2023 (88 FR 16598).

15. Founder Requirements: The creator or adapter of the charter school meets the definition of a "developer" in ESEA § 4310(5).

16. High-Quality Status: If applying for an expansion subgrant, the school meets the definition of a "high-quality charter school" found in 20 U.S.C. § 7221i(5) and will utilize a lottery aligned with Sections 4303(c) and 4310 (H) of the ESEA and the CSP Nonregulatory Guidance (January 2014).

17. Closeout Provisions: Subgrant recipients will comply with the closeout provisions of 2 C.F.R. § 200.344, unless granted an extension by the APCSC.

18. Enrollment Minimums: Subgrant recipients will maintain an enrollment of at least 20 students and will notify APCSC immediately if the school's enrollment drops below 20 students.

CERTIFICATION REGARDING LOBBYING

1. Lobbying Funding Restriction: No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement

2. Lobbying Disclosure: If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

3. Cooperative Agreements: The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements)

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that: If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CERTIFICATION SIGNATURES

I, THE UNDERSIGNED, CERTIFY that the information contained in this application is complete and accurate to the best of my knowledge; that the necessary assurances of compliance with applicable state and federal statutes, rules, and regulations will be met; that I am authorized by the agency designated in this application to bind the agency to the certifications and assurances contained in this application; and, that the indicated agency designated in this application is authorized to administer this grant.

I FURTHER CERTIFY that the assurances listed have been satisfied and that all facts, figures, and representation in this application are correct to the best of my knowledge.

Name of Authorizer/Chartering Authority Official	Title of Individual Signing
Signature of Authorizer/Chartering Authority Official	Date
Name of Charter School Representative	Title of Individual Signing
Signature of Charter School Representative	Date